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    VIOLETTA ETTARE
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10
                          UNITED STATES DISTRICT COURT
11
                        NORTHERN DISTRICT OF CALIFORNIA
12
                                  SAN JOSE DIVISION
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14
    VIOLETTA ETTARE.
                                              Case No.: C-07-4429-JW (PVT)
15
                                Plaintiff,
                                              JOINT STATUS REPORT ON
                                              ARBITRATION
16
                vs.
17
    JOSEPH E. BARATTA, an individual.
    TBIG FINANCIAL SERVICES, INC., form)
18
    of business unknown, WACHOVIA
    SECURITIES, LLC, a Delaware Limited
19
    Liability Company, MARK WIELAND, an )
    individual, and DOES 1-25,
20
                              Defendants.
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          The parties hereby jointly submit to the Court, through their respective counsel,
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    this Joint Status Report, in response to the Court's Order Compelling Arbitration Before
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    FINRA And Staying District Court Proceedings Pending Completion Of The Arbitration.
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    dated June 11, 2008, which directed the parties to advise the Court as to the status of
28
    the parties' arbitration.
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ĺ	Case 5:07-cv-04429-JW Document 63	B Filed 08/11/2008 Page 2 of 4
1	On an about June 20, 2000 plaintiff	submitted a Statement of Claim to the
2	On or about June 20, 2008, plaintiff submitted a Statement of Claim to the	
3		'FINRA") to initiate the arbitration process.
4	FINRA served the Statement of Claim on t	
5		days in which to submit answers in response
6		nts' answers are due on or about September 2,
7	2008. The arbitration panel and the sched	ule for the arbitration will be determined
8	after defendants have filed Answers.	
9	DATED: August 11, 2008	
10	DiffED. Hugust 11, 2000	/s/
11		CHRISTOPHER C. COOKE STEPHEN S. WU
		COOKE KOBRICK & WU LLP
12		Attorneys for Plaintiff VIOLETTA ETTARE
13		
14	DATED: August, 2008	GII DEDM D. GEDOMA
15		GILBERT R. SEROTA DIANA C. CAMPBELL MILLER
16		HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN
17		Attorneys for Defendants JOSEPH E. BARATTA and TBIG
18		FINANCIAL SERVICES, INC.
19		
20	DATED: August, 2008	
21		JUDY LOUIE WACHOVIA SECURITIES LLC
22		Attorneys for Defendants WACHOVIA SECURITIES, LLC and
23		MARK WIELAND
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as to the status of the parties'  $\mbox{\it arbitration.}$ 

On or about June 20, 2008, plaintiff submitted a Statement of Claim to the Financial Industry Regulatory Authority ("FINRA") to initiate the arbitration process. FINRA served the Statement of Claim on the defendants. Under FINRA's Code of Arbitration Procedure, defendants have 45 days in which to submit answers in response to plaintiff's Statement of Claim. Defendants' answers are due on or about September 2, 2008. The arbitration panel and the schedule for the arbitration will be determined after defendants have filed Answers.

DATED: August , 2008

CHRISTOPHER C. COOKE STEPHEN S. WU COOKE KOBRICK & WU LLP Attorneys for Plaintiff VIOLETTA ETTARE

DATED: August 1 2008

GHLBERT R. SEROTA
DIANA C. CAMPBELL MILLER
HOWARD RICE NEMEROVSKI CANADY
FALK & RABKIN

Attorneys for Defendants JOSEPH E. BARATTA and TBIG FINANCIAL SERVICES, INC.

DATED: August \_\_\_\_, 2008

JUDY LOUIE
WACHOVIA SECURITIES LLC
Attorneys for Defendants
WACHOVIA SECURITIES, LLC and MARK
WIELAND

1	On or about June 20, 2008, plaintiff submitted a Statement of Claim to the	
2	Financial Industry Regulatory Authority ("FINRA") to initiate the arbitration process.	
3	FINRA served the Statement of Claim on the defendants. Under FINRA's Code of	
4	Arbitration Procedure, defendants have 45 days in which to submit answers in response	
5	to plaintiff's Statement of Claim. Defendants' answers are due on or about September 2,	
6	2008. The arbitration panel and the schedule for the arbitration will be determined	
7	after defendants have filed Answers.	
8		
9	DATED: August, 2008  CHRISTOPHER C. COOKE	
10	STEPHEN S. WU COOKE KOBRICK & WU LLP	
11 12	Attorneys for Plaintiff VIOLETTA ETTARE	
13	DATED: August, 2008	
14	GILBERT R. SEROTA DIANA C. CAMPBELL MILLER	
15	HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN	
16	Attorneys for Defendants JOSEPH E. BARATTA and TBIG	
17	FINANCIAL SERVICES, INC.	
18	DATED: August 8, 2008	
19	JUDY LOUIE WACHOVIA SECURITIES LLC	
20	Attorneys for Defendants WACHOVIA SECURITIES, LLC and	
21	MARK WIELAND	
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